

## **REQUEST FOR EXPRESSIONS OF INTEREST (CONSULTING SERVICES – FIRMS SELECTION)**

**No.HORC-48/REOI/2020/04**

**Date: 20.08.2020**

**Country: INDIA**

**Name of Project: HARYANA ORBITAL RAIL CORRIDOR PROJECT**

Project No: 000370

**Assignment Title: General Consultancy Services for Haryana Orbital Rail Corridor (HORC)  
From Palwal to Sonipat via Sohna, Manesar and Kharkhoda in the State of  
Haryana.**

**Reference No: HORC-48/REOI/2020/01**

The Government of Haryana (GoH) has applied for financing from the Asian Infrastructure Investment Bank (AIIB) towards the cost of the Haryana Orbital Rail Corridor Project and intends to apply part of the proceeds for the consulting services. The project is being implemented by Haryana Rail Infrastructure Development Corporation Limited (HRIDC), JV Company of Ministry of Railways (MoR) and Govt. of Haryana (GoH).

The objective of the consulting services (the “Services”) is to provide General Consultancy Services for successful planning, basic & detailed designs, preparation of specifications, preparation of proposal documents and tender assistance, checking designs, project management and supervision including construction supervision, safety, quality and environmental management, interface management, testing and commissioning of the Haryana Orbital Rail Corridor (HORC) (the “Project”). The planning shall include O&M phase as well. The draft Terms of Reference (TOR) is available at [www.hridc.co.in](http://www.hridc.co.in) and E-procurement portal of Government of Haryana <https://etenders.hry.nic.in>.

HRIDC now invites eligible consulting firms (“Consultants”) to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services. The minimum eligibility criteria are:

**A. Experience of similar assignments:** The Consultant must have completed/ substantially completed following “Eligible work(s)” during the last Ten (10) years, ending last day of month previous to the one in which tender is invited:

- i) At least one “Eligible Composite Work” (i.e. work including components of Civil Engineering, Track works, Electrical & OHE works and Signalling & Telecommunication (S&T) works) of value not less than equivalent INR 420 million (US \$ 5.61 million) ;

OR

- ii) At least two “Eligible Composite Works” (i.e. works including components of Civil Engineering, Track works, Electrical & OHE works and Signalling & Telecommunication (S&T) works) each of value not less than equivalent INR 280 million (US \$ 3.74 million);

OR

- iii) At least three “Eligible Composite Works” (i.e. works including components of Civil Engineering, Track works, Electrical & OHE works and Signalling & Telecommunication (S&T) works) each of value not less than equivalent INR 210 million (US \$ 2.80 million)

**AND**

- B.** The consultant must have experience of at least one MDB financed completed/substantially completed project in any sector.

**AND**

- C. Financial Performance:** Submission of audited financial data for the last five (5) years to demonstrate the current soundness of the Consultant’s financial position and its prospective long term profitability with the following as minimum criteria: *Profit after tax (PAT) during any two (2) years out of last five (5) years should be positive.*

**AND**

- D. Average Annual Turnover from Consultancy related Activities:** Average annual turnover should be at least INR 350 million (US \$ 4.68 million) as total certified payments received from contracts in progress or completed within last Five (5) Financial Years.

**AND**

- E. Net worth:** The Consultant should have a positive Net worth. This will be judged from the audited balance sheet data of the last financial year ending on a date not prior to 18 months from the date of submission of REOI.

**Notes for ‘A’:**

- i. *“Eligible Works” shall mean: Consultancy work as “Project Management Consultant/ General Consultant” for a new railway line or gauge conversion of a railway line or doubling / tripling / quadrupling of a railway line or modern rail-based mass rapid transit system excluding LRT/ TRAM/ Monorail Systems”. Experience in 3rd Rail Traction will not be counted as eligible Electrical & OHE works.*
- ii. *The “Eligible Composite Work” includes components of Civil engineering (excluding track works), Track works, Electrical & OHE and S&T works. Civil Engineering (excluding track work) is the major component of work and the other three components are minor.*
- iii. *Substantially completed work(s) shall mean: The work(s) where the Consultant has completed 80% of the work in the scope which shall be supported by Client’s Certificate.*
- iv. *Separate Completed/Substantially completed works for each component shall also be considered for fulfillment of experience of similar assignments. If the consultant has done different components under different contracts in the same or different project, then the value of individual contracts will not be combined while calculating the value of work for meeting the minimum eligibility requirement or for scoring purpose. In other words, additional works/contracts shall be*

*considered only to meet the minimum technical requirement of experience of all four components and the value of such additional work will not be added for meeting the minimum eligibility requirement or for scoring purpose.*

*Example: Suppose a Consultant has done 2 contracts (A&B) in the same project. Contract A comprises Civil engineering works, Track works and Electrical & OHE. The value of Contract A is INR X. Contract B comprises Electrical & OHE and S&T works and the value of Contract B is INR Y. As per minimum eligibility conditions, the Consultant must have completed works including all four components. In the present case, Contract A does not have any S&T work. For satisfying the minimum eligibility condition, the Consultant will be allowed to submit documents pertaining to proof of execution of Contract B for satisfying technical eligibility, but the value of eligible composite work will be treated only as INR X only.*

- v. *The Completed works/ Substantially completed works shall include detailed design/ proof-checking of design experience and Construction supervision experience covering all the components i.e. Civil Engineering (Earthwork & Bridges), Track works, Electrical & OHE and S&T.*
- vi. *In case of a foreign consultant (either as a single entity or as a Lead Member in a JV/Consortium), the foreign consultant must have completed/substantially completed at least one “Eligible Composite Work” (i.e. work including components of Civil Engineering, Track works, Electrical & OHE works and Signalling & Telecommunication (S&T) works) of value not less than equivalent INR 210 million (US \$ 2.80 million) outside his country of origin during the last ten years, ending last day of month previous to the one in which tender is invited, in addition to the above requirement. Conversion of the Foreign Currency into Indian Rupees will be done by using the Exchange (Selling) Rates at the close of business of the State Bank of India 28 (twenty eight) days prior to submission of EOI. In case this particular day happens to be a holiday, the exchange rate at the opening of the business of the State Bank of India on the next working day will be considered.*
- vii. *Only work executed as consultant to the Employer shall be considered/ evaluated. Works executed as sub-consultant to a consultant shall not be considered.*
- viii. *Where the work in fulfilment of criteria at ‘A’ above, is undertaken by a group (Joint Venture or Consortium), only that portion of the work, proportionate to the percentage share/participation in the earlier JV/consortium, which is undertaken by the concerned consultant shall be considered and the remaining done by the other members of the group shall be excluded. This is to be substantiated with documentary evidence such as JV/ Consortium agreement clearly giving the scope of work of each member of JV/ Consortium or a Completion Certificate issued by the client.*
- ix. *For Evaluation purpose, the figures of value of the Eligible Composite work/ Payment received for the Eligible Composite Work and the figures of annual turnover in previous years shall be updated @ 3% per year compounded annually to bring them to the level of the last Financial Year*

*specified above. If the figure in an individual year is in a currency other than INR, then the same shall be updated as per above percentage and then be converted to INR.*

Expression of Interest (EOI) submitted by the Consultant must include the following:

- a) Introductory letter on the letter head (with complete contact details – name of contact person, mailing address, telephone, fax, e-mail etc.) explaining how the Consultant is best suited to deliver the task;
- b) Consultant’s Information Sheet as per **Annexure-C**
- c) Organization profile of the Consultant;
- d) Details of relevant similar projects undertaken in the past ten (10) years as per **Annexure-D**
- e) Annual reports for the last five (5) financial years as per **Annexure-E**;
- f) Short note on the similar projects implemented by the Consultant pertaining to the eligibility criteria indicated above; and
- g) Power of Attorney for Authorized Signatory of Single Entity/Joint Venture/Consortium Members as per **Annexure-F**
- h) Undertaking regarding Blacklisting/debarment as per **Annexure-G**
- i) Joint Venture/Consortium forms (if applicable) as per **Annexure-H**

The EOI should contain sufficient supporting documents to substantiate the claim of the Consultant towards their qualification as per the shortlisting criteria.

The attention of interested Consultants is drawn to Section II, paragraph 4.4, and paragraph 4.9 of the AIIB’s “Procurement Instructions for Recipients” June 2, 2016, setting forth the AIIB’s policy on conflict of interest and eligibility. A copy of this document can be downloaded from the website of AIIB ([www.aiib.org](http://www.aiib.org)).

Consultants may be a single entity or a Joint Venture (JV) with not more than five (5) JV partners and with minimum of 10% participation of each. Consultants may associate with other firms to enhance their qualifications but should indicate clearly whether the association is in the form of a joint venture and/or a sub-consultancy. In the case of a joint venture, all the partners in the joint venture shall be jointly and severally liable for the entire contract, if selected. In case of JV/ Consortium, change in constitution or percentage participation shall not be permitted at any stage after the EOI submission, otherwise the Consultant shall be treated as non-responsive. The eligibility Criteria for JV/Consortium is detailed out in **Annexure-A**.

A Consultant will be selected in accordance with the Quality-Cost Based Selection (QCBS) method set out in the Procurement Instructions for Recipients.

Expression of Interest (EOI) must be uploaded online on the E-procurement portal of Government of Haryana <https://etenders.hry.nic.in> not later than 15:00 hrs on 31 August, 2020. Scanned copy of the EOI, uploaded by the Consultant, shall be clear & readable. However, Authority reserves the right to ask for the hard copy/clarification on the documents/credentials already submitted by the Consultant which he has to submit within 7 days of receipt of letter.

The submitted EOIs by the Consultants will be evaluated based on the shortlisting criteria as detailed out in **Annexure B**. Top six (6) Consultants will get shortlisted based on the maximum score gained in their respective EOIs.

**Further information can be obtained through correspondences at the address below during office hours i.e. 09:30 to 18:00 hours:**

**Deputy General Manager (Projects)**

Haryana Rail Infrastructure Development Corporation Limited,

SCO 17-18-19, 3<sup>rd</sup> Floor,

Sector-17A, Chandigarh - 160017, India

Tel: 0172-2715644

E-mail: [hridc2017@gmail.com](mailto:hridc2017@gmail.com)

Website: [www.hridc.co.in](http://www.hridc.co.in)

## **Eligibility Criteria for JV/ Consortium**

### **1. Experience of similar assignments for JV/Consortium**

- (i) The technical eligibility (i.e. experience of similar assignments) for each component of work as per para 'A' above, shall be satisfied by either the 'JV in its own name & style' or 'lead member of the JV'. Each other member of JV shall have technical capacity of minimum INR 42 million (US \$ 0.56 million) value of work in case of one eligible work/ INR 28 million (US \$ 0.37 million) of each value of work in case of two eligible works/ INR 21 million (US \$ 0.28 million) of each value of work in case of three eligible works for any component of the work mentioned in para 'A' above i.e., each JV member must have satisfactorily completed during the last Ten (10) years, ending last day of month previous to the one in which tender is invited, a minimum INR 42 million (US \$ 0.56 million) value of work in case of one eligible work/ INR 28 million (US \$ 0.37 million) of each value of work in case of two eligible works/ INR 21 million (US \$ 0.28 million) of each value of work in case of three eligible works for any component of the work.

OR

- (ii) The technical eligibility (i.e. experience of similar assignments) for major component of work (i.e. Civil Engineering works excluding track works) as per para 'A' above, shall be satisfied by either the 'JV in its own name & style' or 'lead member of the JV' and technical eligibility for other components of work as per para 'A' above, shall be satisfied by either the 'JV in its own name & style' or 'any member of the JV'. Each other member of JV shall have technical capacity of minimum INR 42 million (US \$ 0.56 million) value of work in case of one eligible work/ INR 28 million (US \$ 0.37 million) of each value of work in case of two eligible works/ INR 21 million (US \$ 0.28 million) of each value of work in case of three eligible works for any component of the work mentioned in para 'A' above i.e., each JV member must have satisfactorily completed during the last Ten (10) years, ending last day of month previous to the one in which tender is invited, a minimum INR 42 million (US \$ 0.56 million) value of work in case of one eligible work/ INR 28 million (US \$ 0.37 million) of each value of work in case of two eligible works/ INR 21 million (US \$ 0.28 million) of each value of work in case of three eligible works for any component of the work.

AND

- (iii) The experience of at least one MDB financed completed/substantially completed project in any sector as per para 'B' above shall be satisfied by either the 'JV in its own name & style' or 'lead member of the JV'.

*Note:*

- (a) *The Major component of the work for this purpose shall be the component of work having highest value (i.e. Civil Engineering works).*
- (b) *Value of a completed work done by a Member in an earlier JV shall be reckoned only to the extent of the concerned member's share in that JV for the purpose of satisfying his/her compliance to the above-mentioned technical eligibility criteria in the REOI under consideration.*

### **2. Financial Eligibility Criteria**

Each member of the JV/ Consortium shall satisfy the requirement of “Financial Eligibility” mentioned at para ‘C & E’ above. In case, if any member of Joint Venture/ Consortium fails to meet these requirements, the EOI shall not be evaluated further for scoring.

For average annual turnover mentioned at Para ‘D’ above, the individual “financial capacity” of all the members of JV/ consortium shall be reckoned based on percentage participation of each member to satisfy this requirement.

Example: Suppose a JV/ Consortium has 3 members – Member-1, Member-2 and Member-3. Let Member-1 has percentage participation = X%, Member-2 has percentage participation = Y% and Member-3 has percentage participation = Z%. Let the average annual turnover from Consultancy in last Five (5) Financial Years of Member-1 is ‘A’, that of Member-2 is ‘B’ and that of Member-3 is ‘C’, then the average annual turnover of JV/Consortium as a whole will be calculated as per the formula  $\{(A * X) + (B * Y) + (C * Z)\} / 100$ .

*Note:*

- (a) Contractual payment received by a Member in an earlier JV shall be reckoned only to the extent of the concerned member’s share in that JV for the purpose of satisfying compliance of the above mentioned financial eligibility criteria in the REOI under consideration.*
- (b) Scoring against financial capacity shall be arrived based on the above formula.*

### Shortlisting Criteria

S.No.	Criteria	Marks
	Requirement	
1	Experience in providing Project Management Consulting/ General Consulting Services for Railway Projects of at least 5 years	Yes/No
2	Profit after tax (PAT) during any two (2) years out of last five (5) years should be positive	Yes/No
3	The Consultant should have a positive Net worth during the last financial year ending on a date not prior to 18 months from the date of submission of REOI	Yes/No
4	<b>Experience in Similar Assignments (Highest attainable score 80 marks)</b>	
a)	<b>Minimum Eligibility Criteria</b>	
i.	At least one Completed “Eligible Composite Work” of value not less than equivalent to <b>INR 420 million</b> (US \$ 5.61 million)	45
	Or	
	At least two Completed “Eligible Composite Works” each of value not less than equivalent to <b>INR 280 million</b> (US \$ 3.74 million),	45
	Or	
	At least three Completed “Eligible Composite Works” each of value not less than equivalent to <b>INR 210 million</b> (US \$ 2.80 million)	45
	Or	
	At least one Substantially completed “Eligible Composite Work” of value not less than equivalent to <b>INR 420 million</b> (US \$ 5.61 million)	40
	Or	
	At least two Substantially completed “Eligible Composite Works” each of value not less than equivalent to <b>INR 280 million</b> (US \$ 3.74 million)	40
	Or	
	At least three Substantially completed “Eligible Composite Works” each of value not less than equivalent to <b>INR 210 million</b> (US \$ 2.80 million)	40
b)	<b>Scoring for Additional assignments (Value of assignment greater than or equal to INR 420 million (US \$ 5.61 million))</b>	
i.	For every additional one Completed “Eligible Composite Work” of value not less than equivalent <b>INR 420 million</b> (US \$ 5.61 million)	12
ii.	For every additional one Substantially completed “Eligible Composite Work” of value not less than equivalent <b>INR 420 million</b> (US \$ 5.61 million)	10
c)	<b>Scoring for Additional assignments (Value of assignment from INR 280 million (US \$ 3.74 million) to less than INR 420 million (US \$ 5.61 million))</b>	
i.	For every additional one Completed “Eligible Composite Works” of value not less than equivalent <b>INR 280 million</b> (US \$ 3.74 million),	6
ii.	For every additional one Substantially completed “Eligible	5



	Composite Works” of value not less than equivalent to <b>INR 280 million</b> (US \$ 3.74 million),	
<b>d)</b>	<b>Scoring for Additional assignments (Value of assignment from INR 210 million (US \$ 2.80 million) to less than INR 280 million (US \$ 3.74 million))</b>	
i.	For every additional one Completed “Eligible Composite Works” of value not less than equivalent <b>INR 210 million</b> (US \$ 2.80 million)	3
ii.	For every additional one Substantially completed “Eligible Composite Works” of value not less than equivalent <b>INR 210 million</b> (US \$ 2.80 million)	2
<b>5</b>	<b>Financial Capacity (Average Annual turnover from Consultancy in last Five (5) Financial Years) (Highest attainable score 20 marks)</b>	
i.	Having Average Annual Consultancy Turnover $\geq$ INR 350 million (US \$ 4.68 million) and upto INR 400 million (US \$ 5.35 million)	10
ii.	For every additional INR 50 million (US \$ 0.66 million) of Average Annual Consultancy Turnover above INR 400 million (US \$ 5.35 million)	1

\*The exchange rate of 1 USD equal to INR 74.74 as on 20 July 2020 has been considered

\*\*A consultant is required to obtain minimum score of 50 out of 100 marks (i.e. 80 marks for Experience in similar assignments & 20 marks for Financial Capacity) for being considered for next stages

**Annexure-C****Form CIS (1): Consultant's Information Sheet**

<b>Consultant's Information</b>		
<b>Consultant's legal name</b>		
<b>Consultant's country of constitution</b>		
<b>Consultant's year of constitution</b>		
<b>In case of JV/ Consortium, state the countries and year of constitution of each member)</b>		
<b>Status of the Consultant</b>	Sole Proprietorship Firm / Partnership Firm / Private Limited Company / Public Limited Company / Joint Venture / Consortium (Please tick one)	
In case of JV/Consortium, Legal name of each partner with percentage participation (also provide information of each member in separate sheet)	<b>Legal name of JV/Consortium member</b>	<b>% participation</b>
	1	
	2	
	3	
	4	
	5	
<b>Name of Lead Member of JV/Consortium</b>		
<b>Consultant's legal address in country of constitution and in India</b>		
<b>Consultant's authorized representative</b> (name, address, telephone numbers, fax numbers, e-mail address)		

SIGNATURE OF AUTHORIZED SIGNATORY  
ON BEHALF OF CONSULTANT

**The following documents shall be submitted with this form: (by each member in case of JV/consortium):**

- a) Affidavit in case of Proprietary firm.
- b) Partnership Deed in case of partnership firm.
- c) Memorandum & Article of Association in case of a Public/Private limited company.
- d) In case of JV/Consortium, MoU (duly notarized) entered into by the joint venture/ consortium members, containing intended percentage participation, nomination of Lead Member and division of responsibility to clearly define the work of each member etc.
- e) Authorization/ POA in favour of authorized signatory of Consultant to sign the EOI and also in favour of authorized representative of each member in case of JV/Consortium.

**Note:** Consultant's authorized representative shall be deemed to have authority of the Consultant to receive and deliver any correspondence and attend meetings with the Employer related to the REOI.

<b>FORM – CIS (1.1) JV/ CONSORTIUM MEMBER INFORMATION</b>	
<b>MEMBER – 1</b>	
JV/Consortium Member's Legal Name	
Legal status of the Member	Sole Proprietorship Firm / Partnership Firm / Private Limited Company / Public Limited Company (Tick any one)
Member's country of constitution	
Member's legal address, telephone numbers, fax numbers, email address)	
Member's authorized representative (name, designation, address)	
<b>MEMBER – 2</b>	
JV/Consortium Member's Legal Name	
Legal status of the Member	Sole Proprietorship Firm / Partnership Firm / Private Limited Company / Public Limited Company (Tick any one)
Member's country of constitution	

Member's legal address, telephone numbers, fax numbers, email address)	
Member's authorized representative (name, designation, address)	
<b>MEMBER – 3</b>	
JV/Consortium Member's Legal Name	
Legal status of the Member	Sole Proprietorship Firm / Partnership Firm / Private Limited Company / Public Limited Company (Tick any one)
Member's country of constitution	
Member's legal address, telephone numbers, fax numbers, email address)	
Member's authorized representative (name, designation, address)	
<b>MEMBER – 4</b>	
JV/Consortium Member's Legal Name	
Legal status of the Member	Sole Proprietorship Firm / Partnership Firm / Private Limited Company / Public Limited Company (Tick any one)
Member's country of constitution	
Member's legal address, telephone numbers, fax numbers, email address)	
Member's authorized representative (name, designation, address)	
<b>MEMBER – 5</b>	
JV/Consortium Member's Legal Name	
Legal status of the Member	Sole Proprietorship Firm / Partnership Firm / Private Limited Company / Public Limited Company (Tick any one)
Member's country of constitution	

Member's legal address, telephone numbers, fax numbers, email address)	
Member's authorized representative (name, designation, address)	

SIGNATURE OF AUTHORIZED SIGNATORY  
ON BEHALF OF CONSULTANT

**FORM 1 (A): Summary of “Eligible Works” Experience**

**Consultants legal name..... Date.....**

**Group Member’s legal name.....Page ..... of ..... pages**

<b>Name of Consultant (each member in case of JV/ Consortium)</b>	<b>Name of Work</b>	<b>Name of Client, address, telephone no, email address</b>	<b>Date of Award of Contract</b>	<b>Date of Completion</b>	<b>Value of Contract</b>

*\*Details of each Eligible Work shall be submitted as per Form 1(C)*

SIGNATURE OF AUTHORIZED SIGNATORY  
ON BEHALF OF CONSULTANT

# FORM 1 (B): Summary of works in hand (on going) with the Consultant/ JV/Consortium

Consultants legal name..... Date.....

Group Member's legal name.....Page ..... of ..... pages

Name of Consultant (each member in case of JV/ Consortium)	Name of Work	Name of Client, address, telephone no, email address	Date of Award of Contract	Value of Contract	Completion Period	Percentage of completion

SIGNATURE OF AUTHORIZED SIGNATORY  
ON BEHALF OF CONSULTANT

## FORM 1 (C): Specific Work Experience

Fill up one form per contract of similar size and nature			
Contract No.		Contract Identification	
Award Date		Completion Date	
Role in Contract	<input type="checkbox"/> Consultant <input type="checkbox"/> Member of JV/ Consortium <input type="checkbox"/> Sub-Consultant		
Type of Project (New line/Gauge Conversion/Doubling/Tripling/Quadrupling/Metro)	<To be selected only from definition of eligible works>		
Total Contract Value (INR Cr)			
Work undertaken in the project	<input type="checkbox"/> Civil works <input type="checkbox"/> Track works <input type="checkbox"/> OHE and General Electrical works <input type="checkbox"/> Signal & Telecommunication works		
Location of the Services			
Total length of railway line built	Route Km:	Track Km:	
No. of bridges built and their length	Major:	Minor:	Rail Flyover:
Total Length of Tunnel, if any (Km)			
Total length of OHE works	Route Km:	Track Km:	
No of Stations in which Signal and Telecommunication works were carried out			



<b>If partner in a JV or consortium specify participation in total contract value</b>	<b>Percentage of Total:</b>	<b>Amount (INR):</b>
<b>Employer's Name, Current Address and Current Telephone/Fax Number, E-mail</b>		
<b>Description of the similarity with "Eligible Works" in accordance with Notes for criteria 'A' defined above</b>		

**Notes:**

1. The Consultant shall attach a copy of the Certificate(s) issued by the Employer in support of the information being furnished in each Form 1 (C). In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by Chartered Accountant, T.D.S certificates for all payments received and copy of final/ last bill paid by client shall also be submitted.

SIGNATURE OF AUTHORIZED SIGNATORY  
ON BEHALF OF CONSULTANT

**Form FIN-1: Financial Situation**

NAME OF CONSULTANT: \_\_\_\_\_

(To be submitted by single entity/ each partner in case of Joint Venture/ Consortium)

	Financial Data for Last 5 Years [Indian Rupees]				
	Year 1	Year 2	Year 3	Year 4	Year 5
<b>1.Total Assets</b>					
<b>2. Current Assets</b>					
<b>3. Total Liabilities</b>					
<b>4. Current Liabilities</b>					
<b>5. Net Worth</b> [= 1 – 3]					
<b>6. Working Capital</b> [= 2 - 4]					
<b>7. Profit After Tax</b> (PAT)					

\_\_\_\_\_  
*SEAL AND SIGNATURE OF THE CONSULTANT*

Certified that all figures and facts submitted in this form have been furnished after full consideration of all observations/notes in Auditor's reports.

\_\_\_\_\_  
*(Signature of CA/Auditor)*

Name of CA/Auditor: \_\_\_\_\_

Registration No: \_\_\_\_\_

\_\_\_\_\_  
*(Seal)*

**1. The Consultant shall note following:**

- All such documents reflect the financial situation of the Consultant and not sister or parent companies.
- Historic financial statements must be audited by a certified accountant.
- Historic financial statements must be complete, including all notes to the financial statements.
- Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

**2** The above format duly filled in to be submitted in respect of each partner in case of JV/ Consortium.

**3.** If the above figures in an individual year are in a currency other than INR, then the same shall be converted to INR.

## FORM FIN-2- Annual Turnover for the last 5 years

Each Consultant or each member of a JV/Consortium must fill in this form separately:

**NAME OF CONSULTANT/JV/CONSORTIUM PARTNER:**

<b>Annual Turnover Data for the Last 5 Years (consultancy related activities only)</b>			
<b>Year</b>	<b>Amount Currency</b>	<b>Exchange Rate</b>	<b>Indian Rupees Equivalent</b>

1. Contents of this form should be certified by a Chartered Accountant/Auditor.

**FORMAT FOR POWER OF ATTORNEY FOR AUTHORISED SIGNATORY OF SINGLE ENTITY/JOINT VENTURE /CONSORTIUM MEMBERS**

**POWER OF ATTORNEY\***

*(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the company who is issuing the power of Attorney)*

Know all men by these presents, we..... do hereby constitute, appoint and authorise Mr/Ms. .... who is presently employed with us and holding the position of .....as our attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to our EOI for the work of .....(name of work) Including signing and submission of all documents, withdrawal, substitution and modification of EOI and providing information/ responses to Haryana Rail Infrastructure Development Corporation Ltd., representing us in all matters, dealing with Haryana Rail Infrastructure Development Corporation Ltd. in all matters in connection with our EOI for the said project.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us.

Dated this the ..... day of ..... 20..

(Signature of authorised Signatory)

.....

(Signature and Name in Block letters of Signatory)

Seal of Company

Witness

Witness 1:

Name:

Address:

Occupation:

Witness 2:

Name:

Address:

Occupation:

*\*Notes:*

- i) To be executed by single entity and all the partners/members individually, in case of a Joint Venture/ Consortium.

- ii) The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.

**Undertaking regarding Blacklisting/debarment\***

I ..... (*Name and designation*)\*\*..... appointed as the attorney/authorized signatory of the consultant (including its constituents), M/s. \_\_\_\_\_ (hereinafter called the consultant) for the purpose of the EOI for the work of \_\_\_\_\_ as per the REOI No. \_\_\_\_\_ of HRIDC, do hereby solemnly affirm and state on behalf of the consultant including its constituents as under:

1. We confirm and undertake that we including our constituents, any subconsultants for any part of the contract directly or otherwise have not been/ shall not be engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract.
2. We have not been declared ineligible pursuant to the AIIB's Policy on Prohibited Practices.
3. We have not been blacklisted/debarred by any State/ Central Government/ PSU/ Autonomous Body as on the deadline for submission of EOI for any Prohibited Practices through an administrative or judicial process.
4. We are neither Bankrupt/ Insolvent nor is in the process of winding-up nor such a case is pending before any Court on the deadline of submission of the EOI.

We understand that if the contents of this declaration are found to be false at any stage during EOI evaluation, it will lead to rejection of our EOI. Further, we [*insert name of the consultant*]\*\*\_\_\_\_\_ and all our constituents understand that we shall be liable for banning of business dealings up to a period of five years by the Employer.

STAMP & SIGNATURE OF  
AUTHORISED SIGNATORY

\* *To be executed by single entity and all the partners/members individually, in case of a Joint Venture/ Consortium..*

\*\* *The contents in Italics are only for guidance purpose and details as appropriate, are to be filled in suitably by Consultant.*

**Form JV-1**

**PROFORMA LETTER OF PARTICIPATION FROM EACH MEMBER OF  
JOINT VENTURE /CONSORTIUM**

**(On each Partner’s Letter Head)**

No....

Dated

From:

.....

**To:**

Deputy General Manager (Projects)  
Haryana Rail Infrastructure Development Corporation Limited (HRIDC),  
SCO 17-18-19, 3rd Floor,  
Sector-17A, Chandigarh, India  
Tel: 0172-2715644  
E-mail: hridc2017@gmail.com

Dear Sir,

Re: ...“*[Insert name of work]*.....”.

Ref: Your Request for Expressions of Interest .....

1. We wish to confirm that our company/ firm (delete as appropriate) has formed a Joint Venture/Consortium by name of ..... for the purposes associated with REOI.

*(Members who are not the lead member of the JV /Consortium should add the following paragraph)\*.*

2. ‘The JV/Consortium is led by ..... whom we hereby authorise to act on our behalf for the purposes of submission of EOI for ..... (name of work) and authorise to incur liabilities and receive instructions for and on behalf of any and all the members or constituents of the Joint Venture/Consortium.’

OR

*(Member(s) being the lead member of the group should add the following paragraph)\**

3. ‘In this group we act as lead partner and, for the purposes of applying for qualification, represent the Joint Venture/Consortium:’



4. In the event of our group being awarded the contract, we agree to be jointly with ..... (names of other members of our JV/Consortium)..... and severally liable to the Haryana Rail Infrastructure Development Corporation Ltd., its successors and assigns for all obligations, duties and responsibilities arising from or imposed by the contract subsequently entered into between Haryana Rail Infrastructure Development Corporation Ltd. and our JV/Consortium.
5. I/We, further agree that entire execution of the contract shall be carried out exclusively through the authorised representative of the JV/Consortium.

Yours faithfully,

(Signature)

(Name of Signatory) .....

(Capacity of Signatory) .....

Seal

**Form JV-2**

**FORMAT FOR POWER OF ATTORNEY TO  
LEAD MEMBER AND AUTHORISED REPRESENTATIVE OF JOINT  
VENTURE / CONSORTIUM**

*(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the company who is issuing the power of Attorney)*

**POWER OF ATTORNEY<sup>1</sup>**

Whereas Haryana Rail Infrastructure Development Corporation Ltd. has invited EOIs for the work of .....

Whereas, the members of the Joint Venture/Consortium comprising of

- 1. M/s. ....,
- 2. M/s. ....,
- 3. M/s. ....,
- 4. M/s. ....

and

- 5. M/s.....

are interested in submission of EOI for the work of .....[Insert name of work]

Whereas, it is necessary for the members of the Joint Venture/ Consortium to designate one of them as the Lead Member as the authorized representative, with all necessary power and authority to do, for and on behalf of the Joint Venture/ Consortium, all acts, deeds and things as may be necessary in connection with the Joint Venture/Consortium's EOI for the project.

**NOW THIS POWER OF ATTORNEY WITNESSETH THAT:**

We, M/s. .... (Lead Member), M/s \_\_\_\_\_, ..... and M/s \_\_\_\_\_ hereby designate M/s. ...., being one of the members of the Joint Venture/ Consortium, as the Lead Member of the Joint Venture/Consortium and designate Mr/Ms. \_\_\_\_\_ being authorized representative of the Joint Venture/ Consortium, to do on behalf of the Joint Venture/ Consortium, all or any of the acts, deeds or things necessary or incidental to the Joint Venture/Consortium's EOI for the above work, including submission of EOI, withdrawal, substitution and modification of EOI, participating in conferences, responding to queries, submission of information/ documents and generally to represent the Joint Venture/ Consortium in all its dealings with the Employer or any other Government Agency or any person, in connection with the REOI for the said work until culmination of the process.

We hereby agree to ratify all acts, deeds and things lawfully done by Lead Member, our said attorney, pursuant to this power of attorney and that all acts deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us/ Joint Venture/ Consortium.

Dated this the ..... Day of ..... 20.....

(Signature) (Signature)

(Signature)

(Signature)

.....

(Name in Block letters of all Executants with Seal of Company)

Witness 1:

Witness 2:

Name:

Name:

Address:

Address:

Occupation:

Occupation:

*Notes:*

1. To be executed by all the Members of the JV/Consortium.
2. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.